

# **FEE SCHEDULE**

Suzanne Wolfson, CFP® - For Retired Only

\_\_\_\_\_  
client initials

## **HOURLY @ \$ \_\_\_\_\_/PER HOUR..... Ten (10) minute minimum**

Meetings, conversations regarding financial issues and investment, analytical and presentation work for specific client portfolio. Fees for consultation services will be due upon completion of the services, or billed on a quarterly basis (payable within 30 days).

\_\_\_\_\_  
client initials

## **FINANCIAL PLAN**

Written report of client's financial position, with recommendations for financial and legal (i.e. estate planning) strategies to pursue, consistent with the clients financial goals, objectives and risk tolerance. The plan may consider such issues as budget, income planning, current resources, retirement planning, insurance needs, housing options, investments and other relevant issues based on the stated financial goals and objective of the client.

**Fixed fees for a Financial Plan are based upon the expected time and complexity of the engagement. This fee will be payable in 50% upon signing the "Financial Planning Agreement" and the remaining 50% when the client receives the final version of their Financial Plan. If client requests that the Advisor discontinue working on the initial Financial Plan after signing both this Fee Schedule and the Financial Planning agreement, all fees earned to date will therein shift to an hourly basis and will become immediately due (a credit to client will be made if time spent is less than the 50% initial deposit).**

**Comprehensive Financial Plans range from \$1,000 - \$1,850 depending on the complexity of said plan.**

**The Advisor will determine the appropriate cost at initial meeting.**

See terms in "*Financial Planning Agreement*"

\_\_\_\_\_  
client initials

## **INVESTMENT RECOMMENDATIONS:**

**SPECIFIC NEW INVESTMENT PURCHASES - 1% OF AMOUNT INVESTED**

**RECOMMENDATION OF SALES - .25% OF ASSET VALUE**

Fees for specifically identified and recommended securities investments or no-load insurance products are due within 30 days of client receiving bill. The Advisor (if

she/he chooses) can include the investment recommendation fees stated above in the next quarterly or annual bill cycle (payable within 30 days) .

\_\_\_\_\_  
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**ASSET MANAGEMENT:** (to be specified at initial meeting)

**ON-GOING PORTFOLIO SUPERVISION**

1%/yr of ASSETS UNDER MANAGEMENT (to be billed quarterly based on value at end of prior quarter) \*prorated to initial engagement and deposits/withdrawals over \$10,000)

\*See terms of this in "*Investment Advisory Agreement*"

\_\_\_\_\_  
Client

\_\_\_\_\_  
Date

\_\_\_\_\_  
Client

\_\_\_\_\_  
Date